

Florida Board of Accountancy
July 23 2020 Agenda
Discuss 100% Compliance Project

To join meeting: <https://global.gotomeeting.com/join/837914429>
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Thursday, July 23, 2020 9:0 a.m.

The meeting was called to order at 9:00 a.m. Roll call of Attendees was called by Roger Scarborough, Director and reflected the following persons present:

Board Members		Staff	
David Dennis	Present	Roger Scarborough	Present
M.G. Fennema	Present	Ashley Bordeaux	Present
Tracy Keegan	Present	June Carroll	Present
Michelle Maingot	Present		
Steve Platau	Present		
Mindy Rankin	Present		
David Skup	Present		
Jesus Socorro	Present		

Rachelle Munson, Senior Assistant Attorney General and Board Counsel; Brande Miller, Chief Attorney, Department of Business and Professional Regulation; Tom Thomas, Chief Legal Counsel, Department of Business and Professional Regulation. The court reporter was Kelly Broomfield. Jennifer J. Green, President of Liberty Partners of Tallahassee, LLC and Justin Thames, of the Florida Institute of CPAs (FICPA), Director of Governmental Affairs

100% Compliance Proposal-Roger Scarborough, Director


Mr. Scarborough gave a brief overview of the background regarding F.S. 455.2177 and F.S. 455.2177 (3) and that this Statute requires the Department monitor licensees for compliance of their CE prior to renewal. This statute allows the Department to monitor licensees for compliance prior to renewing or allows an audit after licensees have renewed their license. There is another provision in the statute that says if the profession doesn't show 95% compliance or better than the Department will need to adopt a process where it can be confirmed licensees are in compliance prior to the time they renew. It was stated the primary goal is to have 100% compliance. At this time we are showing an 87.5 % compliance rate. Mr. Scarborough explained what the Department is considering and the points to take under consideration. The proposal of the Department is to task the CE providers with providing the proof of completion for licensees.

The Board discussed the history how and why this has been handled previously. The Board then discussed the summary of proposed processes for seeing that there is a 100% compliance and to determine what constitutes compliance. There was a consensus among Board members to continue looking at this process and determining the most efficient way to bring licensees into compliance. Mr. Thomas will be bringing back language and ideas to discuss with the Board in the future.

There was no Public Comments on this matter.

For good cause shown, the Board discussed an issue regarding disciplinary guidelines for cases that involved not meeting the CE requirements. There was a request for guidance from the Board as to handling cases dealing with non - compliance for consistency that come before the Probable Cause Panel. The consensus was that each case is different and needed to be handled accordingly.

Motion was made by Mr. Dennis, seconded by Mr. Platau to adjourn at 10:52 a.m.



Jesus Socorro, Chair