State of Florida Department of Business and Professional Regulation Division of Drugs, Devices, and Cosmetics

Personal Information Statement Form No.: DBPR-DDC-238

CHECKLIST – IMPORTANT – Submit all items on the checklist below to ensure faster processing.

FORM	REQUIREMENTS
Personal Information Statement	 ☐ Make any cashier's checks, corporate checks, or money orders payable to the Florida Department of Business and Professional Regulation. ☐ Sign and date the Affidavit section of the form.
	Submit the completed form with enclosures to: Department of Business and Professional Regulation 2601 Blair Stone Road Tallahassee, FL 32399-1047

	GENERAL INSTRUCTIONS
1.	TYPE OR PRINT LEGIBLY an answer to every question. Use the last page of the form to provide additional explanations to questions where the form does not have sufficient room for your response.
2.	If you previously submitted a Personal Information Statement with your company's last wholesale distributor renewal, you must complete Sections I & II, IX, X, and XI of the Personal Information Statement AND provide updates to the information requested in Sections III through VIII. If there are no updates check the box designated "no updates" in each section head.
3.	Each page of the form must be initialed and dated in the lower right corner by the person to whom this personal information statement applies.
4.	If any information provided is exempt from Florida's Public Records Law (Chapter 119, F.S.) please note this beside the response and provide the specific exemption in the statutes that is being claimed.
5.	Immediate Family Information - If a family member is deceased, provide the person's name and indicate deceased. You may then omit the rest of the information requested
6.	Fingerprints. You may submit fingerprints electronically to the Department. Information on the submission of electronic submission of fingerprinting is attached to this form. If you choose to submit your fingerprints by using a fingerprint hard card, you may obtain a card from the Division. Note: If you have undergone a criminal record check as a condition of the issuance of an initial permit or the initial renewal of a permit after January 1, 2004, then you do not need to submit a

State of Florida Department of Business and Professional Regulation Division of Drugs, Devices, and Cosmetics

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If you have any questions or need assistance in completing this form, please contact the Department of Business and Professional Regulation, Division of Drugs, Devices and Cosmetics, at **850.717.1800.**

Section I.

ESTAB	LISHMENT INFORMA	TION			
		Current Florida No:	Permit N/A		
		Previous Statement Submitted?			
		State:	Zip Code:		
PER	SONAL INFORMATION	ON			
First:	Middle:	Su	ıffix:		
Place of Birth (City, County,	State, Country):		United States Citizenship?		
	City:		☐ Yes ☐ No State: Zip Code:		
	·				
I am currently: Married (includes separated) Not married (includes single, divorced and widowed); If you are not married, leave the					
	OUSE'S INFORMATIO	N			
First:	Midd	le:	Suffix:		
Place of Birth (City, County,	State, Country):		United States Citizenship? YES □ NO □		
	City:		State: Zip Code:		
	Spouse	's Occupation:			
Employer's Address:					
•					
	PER First: Place of Birth (City, County, MA No Married (includes separa Not married (includes sin bouse's information section SPO First: Place of Birth (City, County,	PERSONAL INFORMATION First: Middle: Place of Birth (City, County, State, Country): MARITAL INFORMATION No updates; skip to the next see and	PERSONAL INFORMATION First: Middle: Su MARITAL INFORMATION City: Married (includes separated) Not married (includes single, divorced and widowed); If you are no couse's information section below blank. SPOUSE'S INFORMATION First: Middle: Place of Birth (City, County, State, Country): City: Spouse Spouse City: City: Spouse		

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Eff. Date: July 2016

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Initials _____ Date ____

Section IV

	IMMEDIATE FAMILY INFORMATION				
If a family member of the information		vide the person's name	and indicate deceased. Ye	ou may then o	mit the rest
or the information	requested	CHILDREN INFO	DMATION		
		No updates; skip to t			
Please provide the	information reque		the flext section. dren (age 18 or older) and t	thair enguese	if they are
			/A – and leave the section		, ii tiley ale
Child #1	ive no addit crilidre	TI CHECK THIS DOX IV	A – and leave the section	Delow Diarik.	
Child's Last/surnam	Je.	First:	Middle:	Suffix:	
Cinia 3 Lasysuman	10.	i iiot.	Middle.	Guiix.	
Date of Birth:	Place of Birth (City	County, State, Country):		Occupation:	
Current Address:			City:	State:	Zip Code:
Spouse's Last/surn	ame:	First:	Middle:	Suffix:	
Date of Birth:	Place of Birth (City	County, State, Country):		Occupation:	
Current Address (if	different):		City:	State:	Zip Code:
Child #2					
Child's Last/surnam	ne:	First:	Middle:	Suffix:	
Date of Birth:	Place of Birth (City	County, State, Country):		Occupation:	
Current Address:			City:	State:	Zip Code:
Spouse's Last/surn	ame:	First:	Middle:	Suffix:	
Date of Birth:	Place of Birth (City	County, State, Country):		Occupation:	
Current Address (if	different):		City:	State:	Zip Code:
Child #3					
Child's Last/surnam	ne:	First:	Middle:	Suffix:	
Date of Birth:	Place of Birth (City	County, State, Country):		Occupation:	
Current Address:			City:	State:	Zip Code:
Spouse's Last/surn	ame:	First:	Middle:	Suffix:	
Date of Birth:	Place of Birth (City	County, State, Country):		Occupation:	
Current Address (if o	different):		City:	State:	Zip Code:

Section V						
		PARENT INFOR				
Diagon provide th		No updates; skip to		boy ore marr	ad If your	
			ts and their spouses, if the section below blank.	ney are mam	eu. 11 your	
Father						
Father's Last/surna	ame: Firs	st:	Middle:	Suffix:		
Date of Birth:	Place of Birth (City, Cou	nty, State, Country):		Occupation:		
Current Address:			City:	State:	Zip Code:	
Father's Spouse's	Last/surname:	First:	Middle:	S	Suffix:	
Date of Birth:	Place of Birth (City, Cou	nty, State, Country):		Occupation:		
Current Address (if	different):		City:	State:	Zip Code:	
Mother						
Mother's Last/surna	ame: Fir	rst:	Middle:	Suffix:		
Date of Birth:	Place of Birth (City, Cou	nty, State, Country):		Occupation:		
Current Address:			City:	State:	Zip Code:	
Mother's Spouse's	Last/surname:	First:	Middle:		Suffix:	
Date of Birth:	Place of Birth (City, Cou	nty, State, Country):		Occupation:		
Current Address (if	different):		City:	State:	Zip Code:	
Section VI						
		SIBLING INFOR				
Places provide th		No updates; skip to	the next section. lings (age 18 or older) and	d thair snause	o if they are	
married. If you ha	eve no adult siblings che	eck this box - N	I/A – and leave the section	below blank.	5, Il liley are	
Sibling #1	-					
Sibling's Last/surna	ame: Fir	rst:	Middle:	Suffix:		
Date of Birth:	Place of Birth (City, Cou	nty, State, Country):		Occupation:		
Current Address:			City:	State:	Zip Code:	
Sibling's Spouse's	Last/surname:	First:	Middle:		Suffix:	
Date of Birth:	Place of Birth (City, Cou	inty, State, Country):		Occupation:		
Current Address (if	different):		City:	State:	Zip Code:	
Sibling #2						
Sibling's Last/surna	ame: Fir	rst:	Middle:	Suffix:		
Date of Birth:	Place of Birth (City, Cou	nty, State, Country):		Occupation:		
Current Address:			City:	State:	Zip Code:	

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Sibling's Spouse's	Last/surname:	First:	Mid	dle:	Su	ffix:
Date of Birth:	Place of Birth (City, C	County, State, Country):		Occupation:	
Current Address (if d	ifferent):		City:		State: 2	Zip Code:
Sibling #3						
Sibling's Last/surna	me:	First:	Middle:		Suffix:	
Date of Birth:	Place of Birth (City, C	County, State, Country):		Occupation:	
Current Address:			City:		State: 2	Zip Code:
Sibling's Spouse's	Last/surname:	First:	Mid	dle:	Su	ffix:
Date of Birth:	Place of Birth (City, C	County, State, Country):		Occupation:	
Current Address (if d	ifferent):		City:		State: 2	Zip Code:
			ı			
Section VII		DEGIDEN	1050			
	Г	RESIDEN No updates; skip to				
	L ou have had for the		o the next section. iing with your current	t residen	ce	
Mo./Yr. – Mo./Yr. (mm/yy – mm/yy)	Street Ad	ddress (including Ap	t. Number)		City	State
Section VIII						
	EMPLO	_	AND OFFICES H	ELD		
No updates; skip to the next section. List all places of employment for the last 7 years and any office held in a business, corporation or other organization for the last 7 years, beginning with current positions.						
Mo./Yr. – Mo./Yr.	Business Name		Position Title		Office He	ld
(mm/yy – mm/yy)	Street Address		City	State	Telephon	e Number
1.	Business Name:		Position Title:		Office He	ld:
	Street Address:		City:	State:	Telephon	e Number:

2.	Business Name:	Position Title:		Office Held:
	Street Address:	City:	State:	Telephone Number:
3.	Business Name:	Position Title:		Office Held:
	Street Address:	City:	State:	Telephone Number:
4.	Business Name:	Position Title:		Office Held:
	Street Address:	City:	State:	Telephone Number:
5.	Business Name:	Position Title:		Office Held:
	Street Address:	City:	State:	Telephone Number:
6.	Business Name:	Position Title:		Office Held:
	Street Address:	City:	State:	Telephone Number:
7.	Business Name:	Position Title:		Office Held:
	Street Address:	City:	State:	Telephone Number:

Section	Section IX				
	BACKGROUND INFORMATION				
	u have previously disclosed information on your Personal Information Statement for to blishment, you may make reference to the previous submission and update as appro-				
1.	Are you or have you in the last 7 years been involved with any business, including any investments, other than the ownership of stock in a publicly traded company or mutual fund, which manufactured, administered, prescribed, distributed, or stored pharmaceutical products (prescription or over-the counter)? If yes, describe in detail the nature of the involvement. This should include, but not be limited to, the name and address of the business; a detailed description of what the business did; and a detailed description of your involvement, including any positions or offices held with the business, and the length of your involvement with the business.	Yes No			
	Also discuss any lawsuits in which the business was named as a party where manufacturing, administering, prescribing, distributing, or storing pharmaceutical products was at issue if you were an officer, director, owner, in management, or				

Initials _____ Date ____

	you were deposed or testified in any lawsuit. This should include, but not be limited to, the style (name) of the case, the jurisdiction in which the action was brought, the date the action was brought (complaint signed), a detailed summary of the allegations proven, the final judgment or order, the date in which the final judgment or order was rendered, and the current status of any disposition of the proceeding.	
2.	During the past 7 years, have you been the subject of any proceeding for the revocation of any license or permit in Florida or any other state?	☐ Yes ☐ No
	If yes, describe in detail the nature of the proceeding and the disposition of the proceeding. This should include, but not be limited to, the name and full address on the license or permit, the type of license or permit, the license or permit number, the agency responsible for issuing the license or permit, the style (name) of the action, the jurisdiction in which the action was brought, the date the action was brought (complaint signed), a detailed summary of the allegations proven, the final judgment or order, the date in which the final judgment or order was rendered, and the current status of any disposition of the proceeding.	
3.	During the past 7 years, have you been enjoined, either temporarily or permanently, by a court from violating any federal or state law regulating the possession, control or distribution of prescription drugs?	☐ Yes ☐ No
	If yes, describe in detail the nature of the proceeding and the disposition of the proceeding. This should include, but not be limited to, the style (name) of the case, the jurisdiction in which the action was brought, the date the action was brought (complaint signed), a detailed summary of the allegations proven, the final judgment or order, the date in which the final judgment or order was rendered, and the current status of any disposition of the proceeding.	
4.	As an adult, have you been found guilty (regardless of whether adjudication of guilt was withheld), pled guilty or pled nolo contendere of any felony under a federal, state (including Florida), or local law?	☐ Yes ☐ No
	(Note: a criminal offense committed in another jurisdiction that would have been or would be a felony in this state must be reported and a felony in another state that is classified as a misdemeanor in Florida may be omitted.)	
	If yes, describe in detail the nature of the criminal proceeding and its disposition. This should include, but not be limited to, the style (name) of the case; the case number; the jurisdiction in which the action was brought; the date the action was brought (complaint signed / arraigned); a detailed summary of the charges for which you were convicted; the final judgment, order or sentence; the date in which the final judgment or order was rendered; and the current status of any disposition of the proceeding.	
5.	Have you, or a company for which you were an owner, officer, director, or manager, been fined or disciplined by a regulatory agency in any state (including Florida) for any offense that would constitute a violation of Chapter 499, Florida Statutes?	☐ Yes ☐ No

	If yes, describe in detail the nature of the proceeding and the disposition of the proceeding. This should include, but not be limited to, the name and full address on the license or permit, the type of license or permit, the license or permit number, the agency responsible for issuing the license or permit, the style (name) of the action, the jurisdiction in which the action was brought, the date the action was brought (complaint signed), a detailed summary of the allegations proven, the final judgment or order, the date in which the final judgment or order was rendered, and the current status of any disposition of the proceeding.	
6.	Have you, or a company for which you were an owner, officer, director, or manager, had any current or previous permit or license suspended or revoked which was issued by a federal, state, or local governmental agency relating to the manufacturer or distribution of drugs or medical devices?	☐ Yes ☐ No
	If yes, describe in detail the nature of the proceeding and the disposition of the proceeding. This should include, but not be limited to, the name and full address on the license or permit, the type of license or permit, the license or permit number, the agency responsible for issuing the license or permit, the style (name) of the action, the jurisdiction in which the action was brought, the date the action was brought (complaint signed), a detailed summary of the allegations proven, the final judgment or order, the date in which the final judgment or order was rendered, and the current status of any disposition of the proceeding.	
7.	Have you, or a company for which you were an owner, officer, director, or manager, been denied a permit or license related to an activity regulated under Chapter 499, Florida Statutes in any state?	☐ Yes ☐ No
	If yes, describe in detail the nature of the proceeding and the disposition of the proceeding. This should include, but not be limited to, the name and full address on the application for the license or permit, the type of license or permit for which you were applying, the agency responsible for issuing the license or permit, the style (name) of the action, the jurisdiction in which the action was brought, the date the action was brought (complaint signed), a detailed summary of the allegations for denial, the final judgment or order, the date in which the final judgment or order was rendered, and the current status of any disposition of the proceeding.	
8.	Have you, or a company for which you were an owner, officer, director, or manager, ever held a permit issued under Chapter 499, Florida Statutes, in a different name than the company applicant's name for which you are submitting this personal information statement?	☐ Yes ☐ No
	If yes, provide the names in which each permit was issued and at what address.	
9.	Do you currently have a pending felony arrest?	☐ Yes ☐ No
	If yes, provide details about the arrest, including but not limited to, the arrest date, the charge(s), the jurisdiction of the arrest, the case number, and next scheduled court appearance.	

10.	Do you, your spouse, or any men have an ownership interest of submitting this personal information	☐ Yes ☐ No					
	If yes, provide the name of the pe	rson ar	nd the extent of the ownership inte	rest:			
	Name	%	Name	%			
11.	manage, control, or oversee, wh	ether o	 ur immediate family currently or exdirectly or indirectly, the operation is personal information statement?	of the	Yes No		
	Name		Name				
12.	Please indicate how you are providing your fingerprints to the department: I am not submitting fingerprints because I previously submitted fingerprints as a condition of an initial or renewal permit after January 1, 2004. I am submitting my fingerprints electronically via an approved LiveScan Device provider. I am submitting my fingerprints via hard card obtained from the Department and submitted to FLDBPR, Florida Fingerprinting Program, Prints Inc. 119 East Park Avenue, Tallahassee, FL 32301						
Secti	ion X						
	С	URREI	NT PHOTOGRAPH				
1.	Sections 499.012(9)(a)9 and 499. within 180 days of the submission			of a phot	ograph taken		
2.	The photographs must be clearly recognizable with a front, full face image.						
3.			Date of photograph/_	1			

Section XI

AFFIDAVIT				
Each application for a license or renewal of a license issued to Regulation shall be signed under oath or affirmation by the authorized to therwise required by law. This Personal Information Stateme licensure or renewal of a licensed issued by the Department a without the need for witness unless otherwise required by law.	applicant without the need for witnesses unless nt is being submitted as part of an application for			
I have read all questions, answers and statements on the attachments and know the contents thereof; that the statement contain a full and true account of the information requested; the knowledge that false or inaccurate information, misrepresentate may be deemed sufficient cause for denial, suspension, or revenue and Cosmetic Act, Chapter 499, Florida Statutes, for the example of the company of the contents of the conten	ents contained herein are true and correct and hat I executed this statement voluntarily with the ion or the failure to reveal information requested vocation of a wholesaler permit under the Florida			
I certify that I am empowered to execute this application as required by Section 559.79, Florida Statutes. I understand that my signature on this application has the same legal effect as if made under oath. To the best of my knowledge, all information contained on this application is true and correct. I understand the falsification of any information on this application may result in administrative action, including a fine, suspension, or revocation of the license.				
Signature	Date:			
Print Name:	Title:			

Initials	Date	

ADDITIONAL INFORMATION (IF NEEDED)



Ken Lawson, Secretary Rick Scott, Governor

ELECTRONIC FINGERPRINTING FREQUENTLY ASKED QUESTIONS

Applicants can use any Livescan vendor that has been approved by the Florida Department of Law Enforcement (FDLE) to submit their fingerprints to the Department of Business and Professional Regulation (Department). Please ensure that the Originating Agency Identification (ORI) number is provided to the vendor when you submit your fingerprints. If you do not provide an ORI number or if you provide an incorrect ORI number to the vendor, the Department will not receive your fingerprint results. The applicant is fully responsible for selecting the vendor and ensuring submission of the prints to the department.

1. How do I find a Livescan vendor in order to submit my fingerprints to the department?

The Department accepts electronic fingerprinting service offered by Livescan device vendors that are approved by the Florida Department of Law Enforcement and listed at their site. You can view the vendor options and contact information at <u>Livescan Device Vendors List</u>.

- 2. What information must I provide to the Livescan vendor I choose?
 - a. You must provide accurate demographic information at the time your fingerprints are taken.
 - b. You must **clearly identify the profession** for which you are seeking to be licensed or select "Temporary License for Military Spouse" and submit your fingerprints payment to the vendor. Any inaccurate information that you provide could cause a delay in processing your request.
 - c. You must provide the correct ORI number.
- 3. Where do I get the ORI number to submit to the vendor? The Division's ORI number is: FL 924780Z.
- 4. How does the electronic fingerprinting process actually work?

In the traditional method of fingerprinting, ink is applied to each of your fingers which are then "rolled" across a fingerprint card to obtain your prints. With electronic fingerprinting, there is no ink or card. Your fingerprints are "rolled" across a glass plate and scanned. It is faster and cleaner than the traditional method. Electronic fingerprinting reduces the likelihood of illegible fingerprints and will reduce the overall application processing time.

- 5. How long will it take to have my fingerprints scanned? It should only take approximately 5-10 minutes.
- 6. How much does electronic fingerprinting cost?

The total fee charged by each vendor varies. Please contact the vendor to obtain this information. The fingerprint results are usually received by the department two to four days after your fingerprints are scanned.

You can view the vendor options and contact information at Livescan Device Vendors List.

7. What do I need to bring with me to the Florida electronic fingerprinting site?

All applicants will be required to bring two (2) forms of identification to the electronic fingerprinting site on the day of scheduled fingerprinting. One of the two types of identification **must** bear your picture and signature such as a driver's license, state identification card or passport. Applicants cannot be permitted to be fingerprinted without proper identification.

8. I submitted my fingerprint through an FDLE approved vendor, but I have now received a deficiency letter regarding my fingerprints? What should I do?

As of the date of the mailing of the deficiency letter, your electronic fingerprinting results have not been transmitted to the Department. We will not be able to process your application until we have received this information. You should contact your fingerprint vendor to determine if they have submitted the prints to the FDLE for processing.

Vendor contact information can be viewed at Livescan Device Vendors List.

9. What should I do if I am notified by the Department that FDLE or the FBI determined my electronic fingerprints were illegible?

The electronic fingerprint scanning machines are equipped to determine if your fingerprints scanned successfully; however, if it is determined by the FBI that your prints were not legible, we will send you a notification letter asking you to go back to the same vendor that did your initial prints and schedule a re-roll of your prints. You will be required to bring the notification letter with you as information such as the TCN (Transaction Control Number) and TCR (Transaction Control Reference) must be identified and used at the time of the reroll.

10. How long are my fingerprints valid for?

The Department will retain results of the prints for 12 months from the date your digital fingerprints were electronically received by FDLE. FDLE only retain the prints for 6 months. If your prints have expired at the time your application is submitted to the Department, you will be required to submit new prints again. Applicants should submit their applications soon after submitting their fingerprints in order to afford themselves an opportunity to resolve any application deficiencies prior to the expiration of the criminal history results.

11. Can I use my recent prints to apply for another permit or license from the Department?

Per FBI regulation, your prints cannot be shared between divisions or with other agencies. You are required to have separate prints for each permit or license you are applying for, using the correct ORI.

12. What kind of assistance can the Department provide if I have problems with a Livescan vendor?

As an applicant, you have the choice to select a vendor approved by the FDLE. Since the Department does not approve or regulate Livescan vendors, you will be fully responsible for the fingerprint submission and for ensuring that the prints have been timely submitted to the FDLE. The Department retrieves the fingerprint results from FDLE through a secure web site. We suggest that you ask the vendor for a receipt showing payment date and other pertinent information in case you need to go back to them for assistance.

13. If I am living out of state, how do I submit my fingerprints without having to travel to Florida?

Go to the FDLE <u>Livescan Device Vendors list</u> and choose a Livescan vendor that is certified as "hard card scanning capable". These vendors have the ability to process fingerprints through additional methods, including the use of hard copy finger print cards.

14. What if I am living out of state and unable to secure my finger prints through a "hard card scanning" capable vendor?

If you are unable to obtain fingerprinting services through an FDLE approved "hard card scanning capable" vendor, please contact the Department by calling 850.717.1800 to request the alternative procedure for fingerprint processing and fingerprint card. Each fingerprint card has a specific ORI code identifying the profession. When requesting a card, please specify the profession for which you are seeking licensure.

Once the fingerprint card is received, you may then go to a local law enforcement office in your area to have your fingerprints rolled onto the card. Other information will be completed at the local law enforcement agency. The completed card must be mailed to the following address where they will be scanned:

FLDBPR, Florida Fingerprinting Program Prints Inc. 119 East Park Avenue Tallahassee, FL 32301

Prior to mailing your fingerprint card, you must complete the following steps https://pearson.ibtfingerprint.com/ in order to register and make advance payment of \$51.75 plus Florida Sales Tax (do not send any money to Prints Inc).

15. What happens after I get my fingerprints done using a Livescan vendor?

The Livescan vendor will send your scanned fingerprint images to FDLE using the ORI number you provide to the vendor. The FDLE/FBI will process the fingerprints and provide the results to the Department, usually within three to five business days from the scan date. You do not have to do anything with your fingerprint results unless the department contacts you for additional information.

16. What happens if the fingerprint results indicate that I have a criminal history?

If you have a criminal history, your application will be reviewed by the department to ensure that your criminal history will not statutorily disqualify you from becoming permitted / licensed. Depending on the type of criminal offense(s) you might be required to provide additional information. You will be notified in writing of any required appearance before the board.