

DBPR PMW-3400 – Permitholder Application for Annual Slot Machine License



STATE OF FLORIDA
 DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
 DIVISION OF PARI-MUTUEL WAGERING
www.MyFloridaLicense.com

INSTRUCTIONS		
This form is to be submitted in conjunction with Form DBPR PMW-3460 Authorization for Release of Information, and Form DBPR PMW-3190 Officers, Directors, Managers, Shareholders, Principals, Partners.		
ORGANIZATION INFORMATION		
Federal Employer ID Number:		
Permitholder's Legal Name:		
Doing Business As (D/B/A) Name:		
MAILING ADDRESS		
Street Address or P.O. Box:		
City:	State:	Zip Code:
County:	Country:	
CONTACT INFORMATION		
Contact Name:	Title:	
Primary Phone Number:	Primary E-Mail Address:	
PHYSICAL ADDRESS		
Street Address:		
City:	State: FL	Zip Code (+4 optional)
County:		

ADDITIONAL CONTACT INFORMATION	
Alternate Phone Number	Fax Number
Alternate E-Mail Address	

Initials: _____

SLOT MACHINE AREA INFORMATION

Short Description of Physical Location of Slot Machine Area(s):

What is the number of slot machines you intend to operate during the license period?

Name and license number of Slot Machine Operations Manager or Slot Machine Management Company:

Name and address of the Custodian of Records:

List the dates and hours of slot machine operations:

Additional requirements:

- 1) Submission of a list of all ownership interests of five percent or greater. If a corporation, the name of the state in which incorporated and the names and addresses of the officers, directors, and shareholders holding five percent or more equity or, if a business entity other than a corporation, the names and addresses of the principles, partners, shareholders or any other person holding five percent or more equity as described in Rule 61D-14.002(b)(c)(d) and (e), Florida Administrative Code (F.A.C.), on Form DBPR PMW-3190, Officers and Directors.
- 2) Submission of a complete set of fingerprints and a completed DBPR PMW-3460 Authorization for Release of Information Form for each individual listed in the application as an owner, partner, officer, or director of the applicant.
- 3) Submission of a DBPR PMW-3460, Authorization for Release of Information, for the slot machine applicant as required by Rule 61D-14.002, F.A.C.
- 4) Submission of a copy of the applicant's security plan, slot machine area floor plan, location of security cameras, and listing of all security equipment.
- 5) Submission of a copy of the binding written agreements between the facility and respective associations as required by Section 551.104(10), Florida Statutes.
- 6) Disclosure of each of the applicant's ownership interest in any other pari-mutuel permit in Florida.
- 7) Evidence of a current surety bond in the amount of \$2,000,000, payable to the Governor of the State of Florida, as specified in s. 551.103(1)(f), Florida Statutes.
- 8) Payment of the non-refundable \$3,000,000 application fee for a Slot Machine License as required by Section 551.106(1), Florida Statutes. Payment must be submitted via electronic funds transfer.
- 9) Payment of the non-refundable \$250,000 regulatory fee for the Compulsive or Addictive Gambling Prevention Program as required by Section 551.118, Florida Statutes, made payable to the Division.
- 10) Submission of the slot machine applicant's employee training plan for the Compulsive or Addictive Gambling Prevention Program.
- 11) Submission of a list of slot machine business occupational licensees (i.e. vendors, manufacturers, distributors, service companies, etc.) with whom you intend to do business.
- 12) A copy of the facility's written policy for creating opportunities to purchase from minority vendors in Florida, creating employment opportunities for minority residents in Florida, opportunities for construction services provided by minority contractors, and ensuring opportunities for employment are offered on an equal, nondiscriminatory basis.
- 13) Disclosure of any administrative, civil or criminal proceedings, and/or any judgments initiated by any governmental agency or any other state or federal agency that would affect the license status of the applicant or any affiliate of the applicant pursuant to Sections 550.054 and 550.1815, Florida Statutes.
- 14) Disclosure of the applicant's internal control procedures required by Rule 61D-14.058, F.A.C.

AFFIDAVIT

Pursuant to s. 559.79, Florida Statutes (F.S.), each application for a license or renewal of a license issued by the Department of Business and Professional Regulation shall be signed under oath or affirmation by the applicant, owner, or chief executive of the applicant without the need for witnesses unless otherwise required by law.

Pursuant to s. 559.791, F.S., any license issued by the Department of Business and Professional Regulation which is issued or renewed in response to an application upon which the person signing under oath or affirmation has falsely sworn to a material statement, including, but not limited to, the names and addresses of the owners or managers of the licensee or applicant, shall be subject to denial of the application or suspension or revocation of the license, and the person falsely swearing shall be subject to any other penalties provided by law.

I certify that I am empowered to execute this application as required by s. 559.79, F.S. I agree to abide by and obey all laws and rules of the Division of Pari-Mutuel Wagering and the laws of the State of Florida. I understand that my signature on this application has the same legal effect as if made under oath. To the best of my knowledge, all information contained on this application is true and correct. **I understand the falsification of any information on this application may result in administrative action, including a fine, denial, suspension, and/or revocation of the license.**

Signature of Applicant, Owner, or Chief Executive:

Date:

Print Name:

Title