

Candidate Information Booklet for the Auctioneers' Licensure Examination



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Statement of Nondiscriminatory Policy

The Department of Business and Professional Regulation does not discriminate among candidates on the basis of age, sex, race, religion, national origin, handicap, or marital status. Please save this document for future reference.

Visit our website at: <http://www.myfloridalicense.com/DBPR/examination-information/>

Introduction

This Candidate Information Booklet (CIB) is designed to introduce Computer Based Testing and provide candidate information for this examination. The Department of Business and Professional Regulation has retained the services of Pearson VUE to schedule, administer, score and report the results for computer based testing. Pearson VUE is a leading provider of assessment services to regulatory agencies and national associations and offers licensing and credentialing support services to associations, state agencies, and private industry.

Your examination(s) will be administered on an electronic testing system. The testing system eliminates the use of paper, pencil and answer sheets. Candidates input their responses by entering the answer of their choice (A, B, C or D). Before you begin the examination, you will have an opportunity to go through a tutorial on the computer. The computer is very simple to operate; it should only take you a few minutes to learn to use it. The screen features a variety of function buttons to help candidates navigate through the examination. The function buttons are located in the same position throughout the test. Candidates can mark a question for review, move forward or backward one question at a time, or move to a specific question.

The summary screen, which can be accessed at any time during the examination, shows candidates the following:

- Number of questions answered
- Number of questions unanswered and/or skipped
- Time remaining for the examination

Testing Locations and Reservations

To locate a Pearson VUE Test Center near you, as well as to check that site's availability, use this link to view the vendor website for more details: <https://home.pearsonvue.com/fl/dbpr>.

Making your Test Reservations

Candidates that already know their candidate identification number are reminded that they do not have to wait for a "Confirmation"/ "Authorization" letter to make their reservation. Candidates have two options when selecting a testing location. These are traditional test centers and remote online proctored. For detailed information regarding remote online proctoring, please review the section titled, "[Remote Online Proctored Testing Information](#)". You may receive two separate emails for test authorization because the test center and online proctored testing are two separate systems. Please only schedule for the one method of delivery you prefer.

Telephone or Internet Reservations

Call: 1.888.204.6230 and a Pearson VUE Customer Care Associate will help you to select a convenient examination date and location and answer any question you may have. The best times to call for a reservation are: Monday – Friday (8am – 11pm), Saturday (8am – 5pm), and Sunday (10am – 4pm). You may register as far in advance as you would like to test based on seat availability. However, it is strongly recommended you call at least five (5) business days before the examination date desired since reservations are made on a first-come, first-served basis.

Candidates may register via the Internet. You may submit a request via the Internet to Pearson VUE website at <https://home.pearsonvue.com/> twenty-four (24) hours a day, seven (7) days a week, provided you include a credit card number, electronic check or voucher, and valid email address. A reservation confirmation will be returned to you via email. Examination reservations may be canceled or changed via the Internet.

Please have the following information when you call to make an examination reservation:

- Your authorization notice
- Candidate Identification number listed on your authorization notice
- Your full name, address and a daytime telephone number
- The location of the test center you desire
- The name of the examination you will be taking
- Credit card number, check, or voucher

**The Examination
Content Overview**

Florida Laws and Rules (FL&R)

The Auctioneers’ Licensure Examination is designed to test the applicant’s general knowledge of the laws of this state relating to bulk sales; auctions; brokerage; Chapter 468, Part VI; Chapter 455, Florida Statutes; and Rule 61G2, Florida Administrative Code.

The examination consists of fifty (50) questions covering seven (7) subject matter areas. These areas are listed with the approximate percentage of questions included within each subject. You will have one (1) hour to complete the examination.

Subject	Percentage
Advertising	20%
Legal	18%
Financial Aspects	18%
Conducting the Sale	15%
Appraisal	14%
Merchandising	8%
Soliciting	7%

References

Candidates for licensure are required to attend classroom instruction or an apprenticeship in auctioneering prior to the licensure examination. The following reference list is an example of Florida Law and Rules and general textbooks that may be of use to candidates preparing for the State of Florida Auctioneers’ Licensure Examinations. Individuals may wish to review texts such as these, along with those used by their instructor in their school training. In providing this listing, the Bureau of Education and Testing is not endorsing any specific textbook.

Auctioneers’ Act, Chapter 468,381 through 468.399, Florida Statutes, including but not limited to:

- 468.381- Purpose of the Auctioneers' Act.
- 468.382- Definitions.
- 468.383- Exemptions.
- 468.384- Florida Board of Auctioneers.
- 468.385- License Required; Qualifications; Examination; Bond.
- 468.3851- Renewal of License.
- 468.3852- Reactivation of License; Fee.
- 468.386- Fees; Local Licensing Requirements.
- 468.387- Licensing of Nonresidents; Endorsement; Reciprocity.
- 468.388- Conduct of an Auction.
- 468.389- Prohibited Acts; Penalties.
- 468.391- Penalty

Rules and Regulations of the Florida Board of Auctioneers, Chapter 61G2, Florida Administrative Code, including but not limited to:

- 2.002- Examination for Licensure.
- 2.0031- Delinquent Status.
- 2.004- Licensure by Endorsement or Reciprocity.
- 2.005- Notice of Address Change.
- 4.001- Apprenticeship Training Requirements.
- 4.003- Change of Sponsor.
- 5.001- Requirements for Conduction an Auction.
- 5.002- Required Record Keeping.
- 5.003- Client Funds and Sale Proceeds.
- 5.004- Advertising.
- 5.005- Definitions Applying to Exemptions.
- 6.001- Standards for Classroom Instructions.
- 7.010- Probably Cause.
- 7.020- Time for Payment of Fines.
- 7.030- Disciplinary Guidelines.
- 7.040- Minor Violations.
- 7.050- Citations.
- 8.010- Definition of Auction.
- 8.020- Definition of Absolute Auction.
- 8.030- Mediation.
- Brokerage and Consumer Protection, Chapter 475 and 501 Florida Statutes:
- Chapters 671 through 680, Uniform Commercial Code (UCC).
- Florida Department of Agriculture and Consumer Services, FSS Chapter 585.

Optional reading:

- Live Auctions by the National Auctioneers Association, Published by ALPHA. ISBN 978-1-59257-641-8

Pilot Testing

The Examination may contain a small number of experimental or “pilot test” questions. The purpose of including pilot test questions within the examination is to expand and improve the bank of questions from which future examinations will be drawn. This is a common practice used by many national and state examination programs and is a critical step in ensuring the continued reliability and validity of these examinations.

In the event that pilot test questions are included within the examination, these questions will NOT be counted when computing scores. Additional time will be given for answering the pilot test questions. The time allowed for testing has been evaluated to ensure there is adequate time for completing test questions and pilot questions.

Pilot questions are NOT identified. If the pilot questions were identified, many of the candidates would skip them, and the results would not be valid. The development of a good examination requires accurate candidate response information for the pilot questions.

Test Taking Advice

The advice offered here is presented primarily to help you demonstrate knowledge and maximize your chances of passing the examination.

- Read all instructions carefully.
- For best results, pace yourself by periodically checking your progress. This will allow you to make any necessary adjustments.
- Be sure to record an answer for each question, even the items about which you are not completely sure. You can note the questions you wish to reconsider on the computer testing system and return to them later.
- Alert a Proctor or Test Center Manager to any problems that may occur during the examination. Do not wait until the examination is over to inform someone about a problem.

Supplies

It is expressly understood by each candidate that the State of Florida, and/or the Department of Business and Professional Regulation, and the Department’s staff hereby assume absolutely no liability of any nature whatsoever for any items of the candidate’s personal property which may have been brought to, left at, or left outside the examination site.

It is further understood that the candidate’s admission to the examination shall hereby constitute the candidate’s full, knowing, and complete waiver of any and all such claims against the State of Florida, and/or the Department of Business and Professional Regulation, and the Department’s staff.

What to Bring

All candidates will be required to bring the following items to the testing center on the day of the examination:

- Personal items are not permitted in the examination room. Any personal items such as toiletries, snacks, etc. must be encased in a clear plastic bag, no larger than 8” X 11” in size and kept in the locker provided by the vendor.
- Two forms of valid signature identification, one of which is government issued: driver’s license, state identification card, passport or military identification card. **Student or employment I.D. cards and photo bearing credit cards are not acceptable as picture bearing identification.** Candidates will not be admitted without showing proper identification. Your name and address must match what was submitted on your application.
- Calculators are permitted if they are silent, hand-held, battery-operated, nonprinting, and without an alphabetic keypad. Candidates that chose the online proctored exam must use the calculator feature. Solar calculators are not recommended.
- To better serve our “English as a second language” candidates, the Bureau of Education and Testing is permitting the use of foreign language translation dictionaries during the examination. Translation dictionaries shall contain word-for-word or phrase translations ONLY. Dictionaries that contain definitions of words, explanations of words, or handwritten notes may NOT be used.
- Electronic translation dictionaries are NOT recommended as most will have more than word-for-word or phrase translations, an alphabetic keypad, have mathematical formulas and stored memory capabilities. Should these electronic translation devices have these capabilities; these devices WILL be rejected by the Proctor or Test Center Manager in NOT being suitable for the test site environment.
- Testing Center staff will inspect and approve each dictionary before it can be used during the examination. In order to maintain security and to ensure fairness to all candidates, candidates are limited to the use of a single dictionary.
- If you have any questions concerning acceptable translation dictionaries, please contact the Bureau of Education and Testing at 850.487.1395.

What not to Bring

Unauthorized supplies, those not listed in this Candidate Information Booklet, will be subject to removal by the Department’s representative at the examination site. Personal items are not permitted in the examination room. Any personal items such as toiletries, snacks, etc. must be encased in a clear plastic bag, no larger than 8” X 11” in size and kept in the locker provided by the vendor.

The following items are NOT allowed in the examination room:

- Cameras, tape recorders, or computers
- Pagers, electronic transmitting devices, or telephones (watches with alarms or beepers should be set so that they will NOT sound or go off during the examination administration)
- Any bound or loose leaf reference materials and notes
- Dictionary, thesaurus, or other spelling aids
- Canisters of mace, pepper spray, or other personal defense items
- Purses, briefcases, portfolios, fanny packs, or backpacks

Administrative Policies

Change and Cancellation Policies

If you wish to cancel your exam, you must contact Pearson VUE **2 days** prior to your scheduled appointment. Canceling an exam less than **2 days** prior to your appointment or missing your exam may result in forfeiting your exam fees.

Absence Policy

If you are unable to attend the examination for which you were scheduled, you may be excused for the following reasons:

- Your illness or that of an immediate family member
- Death in the immediate family
- Disabling traffic accident
- Court appearance or jury duty
- Military duty

All candidates seeking excused absences must submit written verification and supporting documentation of the situation to Pearson VUE no later than four days after the original examination date. Documentation of medical absences must have the original signature of the medical practitioner. Stamped signatures will NOT be accepted. **You will be required to pay your examination in full, and possibly reapply to DBPR, if you do not show up for your exam appointment.**

Admission to the Examination

When registering at the test center, you will be required to have the following items:

- Two forms of valid signature identification, one of which is government issued: driver's license, state identification card, passport or military identification card. **Student or employment I.D. cards and photo bearing credit cards are not acceptable as picture bearing identification.** Candidates will not be admitted without showing proper identification. Your name and address must match what was submitted on your application.

Admission Procedures

- Please report to the test center thirty (30) minutes prior to your scheduled examination. As part of the checking-in process, a photo will be taken of the candidate prior to taking the exam. This photo will be visible on the candidate's exam result report. The Test Center Manager will assign you a seat and assist you with the computer. You may take up to fifteen (15) minutes to complete the tutorial and the Test Center Manager will answer any questions you may have about the computer testing unit at this time.
- The time you spend on the tutorial will NOT reduce the time allotted for taking your examination. When you feel comfortable with the computer testing unit, you may

begin your examination. The time of the examination begins the moment you look at the first question on your examination.

- Your specific reporting time will be given to you when you make your examination reservation. Please allow yourself sufficient time to find the test center. Make sure you have all necessary documentation before you report for registration.
- At the completion of the examination, your score report will provide instructions on the next step of the licensure process.

Late Arrivals

Test Center Managers will review the daily schedule for that day to determine if the candidate can be accommodated due to tardiness. If the tardiness was caused by an emergency (i.e., car trouble, traffic accident, etc.) the candidate must provide documentation of the event. If the candidate cannot be accommodated due to unexcused tardiness, they will be liable for the test fee for that day in addition to the new test fee and classified as a “No Show.”

Rules for the Examination

- The examination materials, documents, or memoranda of any kind are not to be taken from the examination room.
- Listen carefully to the instructions given by the Test Center Manager and read all directions thoroughly. Test Center Managers are NOT qualified or authorized to answer questions concerning examination content. However, if you have any procedural questions, they will do their best to assist you.
- If you have a concern about the content of an examination question, please request a “Candidate Comment Form.”
- You must have the Test Center Manager’s permission to leave the examination room. You will NOT be allowed additional time to make up for time lost.
- Smoking will not be permitted in an examination room or in the restrooms, based on the October 1985 Florida Clean Indoor Air Act.
- Do not bring food into an examination room. If applicable, a lunch break will be provided. Drinks are allowed in spill proof containers. Children and visitors are NOT allowed in the test center.
- You are NOT permitted to take personal belongings such as briefcases, large bags, study materials, extra books, or papers into the testing room. Any such materials brought into the testing room will be collected and returned to you when you have completed the test. Pearson VUE and the Department are NOT responsible for lost or misplaced items.
- Under NO circumstances will you be permitted to work beyond the time allotted for the examination. Time limits are generous; you should have ample time to answer all questions and check all work.

Apparel

Please dress comfortably, but appropriately, for the examination. The examination room is usually climate controlled. However, it is not always possible to maintain a temperature suitable to each candidate, and from time to time there are maintenance problems beyond the Department’s or Pearson VUE’s control. It is suggested that you bring a sweater or jacket in case the temperature is

cooler than your individual preference.

Change of Address

If an address change occurs after your examination administration, please make corrections at <http://www.myfloridalicense.com/DBPR/online-services/> or by completing the change of address form provided at the end of this booklet.

Change or Correction of Name

If you have a name change or correction, please send a copy of notarized legal documentation to the Central Intake Unit-License Maintenance immediately.

Special Testing Accommodations

The Department of Business and Professional Regulation certifies that it will comply with the provisions of the Americans with Disabilities Act (42 USC Section 12101, et seq.) and Title VII of the Civil Rights Act, as amended (42 U.S.C.2000e, et seq.), in accommodating candidates who, because of a disability, need special arrangements to enable them to take an examination.

All applicants for an examination or a reexamination who desire special testing accommodations due to a disability must submit an application to the Special Testing Coordinator prior to each exam. The application can be found at: http://www.myfloridalicense.com/dbpr/servop/testing/documents/ada_applic.pdf?x40199.

The application for accommodation must be completed and returned to the Bureau of Education and Testing at:

*Department of Business and Professional Regulation
Bureau of Education and Testing
Special Testing Coordinator
2601 Blair Stone Road
Tallahassee, Florida 32399-0791*

For more information regarding special testing accommodations, please our visit webpage at: <http://www.myfloridalicense.com/DBPR/examination-information/special-testing-accommodations/>.

Scoring Information and Grade Notification

Scoring Procedures

All questions are equally weighted. The grading process includes a statistical analysis of each question on the examinations. Questions found to be statistically deficient are subject to further review. In the event that this review leads to a change in the answer key that affects your score, you will be sent an amended grade report 2-3 weeks after the examination.

Examination scores are reported as a scaled score. The minimum score needed to pass has been scaled to seventy-five (75%).

Notification of Results

All candidates will receive an official photo-bearing exam result report immediately following completion of their examination. Please verify that all information is correct on your exam result report prior to leaving the test center.

Examination Review and Fees

Candidates who fail an examination are entitled to review the questions they answered incorrectly, under such terms and conditions as may be prescribed by the Department of Business and Professional Regulation. Candidates are entitled to review only their most recent examination. The candidate shall be permitted to review only those questions the candidate answered incorrectly.

The request to review must be made within 21 days from the date of the examination and can be scheduled by going online to: <https://home.pearsonvue.com/> or by calling 1.888.204.6230. The same security requirements observed at the examination will be followed during the review session. Reviews will be held at a Pearson VUE testing center.

The fees associated with reviewing a Computer Based Testing examination are included in the following link:

http://www.myfloridalicense.com/dbpr/servop/testing/documents/cbt_exam_admin_Fee_2016.pdf.

Review Session

The review session is considered to be an extension of the examination administration. Only the candidate may attend the review session. Proper identification is required to obtain entry. There will be no talking or note taking of any kind. Candidates are usually given one-half of the exam administration time. For example, a 2 hour and 30 minute examination will only receive 1 hour and 15 minutes to review. Candidates will not be able to see their original exam book since it is not retained, after the exam. Please note, that candidates who are registering for the exam to be delivered online via online proctoring, you will be asked to waive the ability to review your examination. If you would like the ability to review your exam, please register to take the exam at one of the testing centers.

Challenge Process

Written challenges are accepted for DBPR developed examinations. Candidates are given an opportunity during the review session to note in writing, on the computer, any objections they have to questions answered incorrectly. The challenges are forwarded to the Bureau of Education and Testing for review by a Psychometrician and subject matter experts to determine if there is any merit to the candidate's objection.

The response time to challenges, on average, is approximately 21 days. Due to the confidential nature of the examinations, the only response you will receive is "credit" or "no credit" for each challenged question. Credit will only apply to the candidate who reviewed and challenged. Per Rule 61-11.017(g) the candidate's challenges must be submitted in writing during the scheduled review. Any challenges or supporting documentation submitted after the candidate has left the review room shall not be accepted.

For informal review and formal hearing procedures visit <http://www.myfloridalicense.com/DBPR/examination-information/examination-reviews-and-hearings/>.

Reexamination Information

A candidate who fails to achieve the required passing score on the examination may be reexamined upon submission of a reexamination application. Pertinent reexamination information is provided to all affected candidates along with the original grade report.

Remote Online Proctored Testing Information

The following exams are available for online proctored testing via Pearson VUE's OnVUE testing platform:

- Asbestos Contractor
- Asbestos Consultant
- Auctioneer
- Certified Drug Representative
- Community Association Manager
- Landscape Architect Florida Section
- Veterinary Medicine Laws & Rules

A candidate will need a functioning home computer or laptop with a webcam with microphone and strong internet connection. Prior to their exam, candidates they will need to run a system check to test the compatibility of the OnVUE application. Exams will be proctored and monitored by a live proctor. Similar to center-based testing, online proctored testing requires that you be monitored by a proctor while you take your exam, but they will do so through your microphone and webcam.

Before starting the exam, you will need to complete a self-check-in process. You will be prompted to take a headshot photo, a picture of your identification card/driver's license, and pictures of your surroundings. Once you begin the exam, you will not be permitted to leave the room or move out of the proctor's view. If you have a question during the exam, you can use the "Chat" function at the top of the screen to contact the proctor. Since you are required to be video monitored by a live proctor during your exam, the exam is not "on-demand" and you will need to schedule it ahead of time. However, appointments are offered 24/7 so you should be able to find a time that is convenient for your schedule.

You can test at home or in your office. Whether it is your home or your office, you should be in a walled room, with a closed door, and without distractions. Find a quiet, private location. Once the exam begins, you are not permitted to talk, stand, or move from your seat. No breaks are allowed during the examination. No food or drink is allowed; however, water is allowed in a spill-proof, clear container. Also, no one else is permitted in the room with you while you are testing.

During the check-in process after you have submitted all required photos, the proctor may ask to see your environment, including the walls, floor space and the desktop. This is to ensure you are not within arm's reach of books, notepads, post-it notes, papers, pens, pencils, or other writing instruments/objects. Additional monitors must be unplugged, and additional computers must be

turned off. Items on the wall such as wall art, posters and whiteboards will be inspected. If you are unable to complete this room scan, you are not permitted to proceed further or to take the exam.

You will be asked to take four photos of your work area, which will be checked by a proctor prior to exam launch. Please ensure that your desktop is clean and that you are not within arm's reach of books, notepads, sticky notes, papers, pens, pencils, and other writing instruments/objects. Additional monitors and computers must be unplugged and turned off. Items on the wall with writing on them, such as whiteboards, will be inspected. If your workspace does not pass a room scan, you will not be permitted to proceed with taking the exam.

Your computer will be locked down once you start your exam. You will not be able to access the internet or use other software applications while taking an exam. A candidate will **NOT** be able to take a break once the exam starts and no one is allowed to enter the space where exam is being taken.

During the online exam session, you are not allowed to use exam-specific materials and are not permitted to use scratch paper, erasable whiteboards, a calculator, or any other physical writing objects such as a pen, marker, or pencil. Instead, the exam has a built-in calculator feature that you will be able to access during the examination. You can access it by clicking on calculator icon in the exam screen.

You will also be able to access a built-in whiteboard while taking your online proctored exam. This feature gives candidates the choice to work through items visually by entering notes or drawing shapes. You can access it anytime during the exam by clicking the eraser icon at the top of the screen. You can learn more about this feature at this link: <https://home.pearsonvue.com/op/online-proctored-whiteboard-overview>.

Please note, during your online exam, you can access both the built-in whiteboard and calculator at the same time to perform notes and calculations.

Online Proctored Examination – Reviews and Challenges

At this time, reviews and challenges will only be available for candidates taking the exam at a test center; it will not be an option for an exam taken online. Please note, that candidates who are registering for the exam to be delivered online via online proctoring, will be asked to waive their ability to review the examination. If you would like the ability to review and challenge your exam, please register to take the exam at one of the testing centers.

Appendix

Points of Contact

Please contact the appropriate office for questions regarding the following:

Application Policies and Fees

Customer Contact Center
2601 Blair Stone Road
Tallahassee, Florida 32399-0791
850.487.1395

Scheduling, Grade Notification, and Reviews

Pearson VUE, Inc.
Customer Care at 1.888.204.6230
Website: <https://home.pearsonvue.com/>
Fax-Back System at 1.800.274.8920

Formal Hearings

Department of Business and Professional Regulation
Bureau of Education and Testing
2601 Blair Stone Road
Tallahassee, Florida 32399-0791
850.487.1395

Requests for Special Testing Accommodations

Department of Business and Professional Regulation
Bureau of Education and Testing
Special Testing Coordinator
2601 Blair Stone Road
Tallahassee, Florida 32399-0791
BETSpecialTesting@myfloridalicense.com

For information regarding hotels or directions to the examination site, contact the Chamber of Commerce in the city where your examination has been scheduled.

Visit our website at:

<http://www.myfloridalicense.com/DBPR/examination-information/>

Please fill out the change of address form below and return to:

Florida Department of Business and Professional Regulation
Central Intake Unit – License Maintenance
2601 Blair Stone Road
Tallahassee, Florida 32399-0791
Fax: 850.487.9529

Address Change Form

Please type or print in the appropriate spaces below if you have a change of address correction.

Name: _____

*Social Security #: _____

Examination Date: _____

Candidate Number: _____

Phone Numbers: _____

Area Code/Home Number

Area Code/Work Number

Old Address: _____

New Address: _____

Signature: _____

NOTE: If your name has changed, please use your prior name on this form and contact the Central Intake Unit for name change information.

*Under the Federal Privacy Act, disclosure of Social Security Numbers is voluntary, unless specifically required by Federal Statutes. In this instance, Social Security Numbers are mandatory pursuant to Title 42, United States Code, Sections 653 and 654; and Sections 455.203(9), 409.2577, and 409.2598, Florida Statutes. Social Security Numbers are used to allow efficient screening of applicants and licensees by a Title IV-D child support agency to assure compliance with child support obligations. Social Security Numbers must also be recorded on all professional and occupational license applications, and will be used for licensee identification pursuant to the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Welfare Reform Act), 104 Pub.L. 193, Sec. 317.

Common Abbreviations and Definitions

Abbreviations	Definitions
DBPR	Department of Business and Professional Regulation
F.S.	Florida Statute
F.A.C.	Florida Administrative Code
BET	Bureau of Education & Testing
ADA	Americans with Disability Act
CBT	Computer Based Testing
CE	Continuing Education
CIB	Candidate Information Booklet
BCAIB	Building Code Administrators and Inspectors Board
ICC	International Code Council
FBC	Florida Building Commission
CILB	Construction Industry Licensing Board
ECLB	Electrical Contractors' Licensing Board
FAQ	Frequently Asked Questions